Congruence of Disposition After Emergency Department Intubation in the National Hospital Ambulatory Medical Care Survey

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Study objective: The National Hospital Ambulatory Medical Care Survey (NHAMCS) includes a large nationally representative sample of emergency department (ED) visits that is widely used for research. This study investigates the frequency of apparent NHAMCS disposition discrepancies for visits with intubation.

Methods: Using 10 years' worth of NHAMCS data composed of 348,367 ED visits, those recorded as including intubation were evaluated for congruence of disposition, which was expected to be either death or admission to a critical care unit.

Results: Of the 875 ED patients recorded as having intubation performed, 27% had incompatible dispositions: 81 (9%) were recorded as discharged and 153 (17%) as admitted to a non–critical care unit. Cross-reference with free text chief complaint descriptions and *International Classification of Diseases, Ninth Revision* diagnoses codes indicated errors in recording both intubation and admission.

Conclusion: One fourth of NHAMCS ED visits with intubation have an ED disposition incompatible with this procedure. [Ann Emerg Med. 2012;xx:xxx.]

Please see page XX for the Editor's Capsule Summary of this article.

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INTRODUCTION

Background

The National Hospital Ambulatory Medical Care Survey (NHAMCS) is a national probability sample of ambulatory visits made to nonfederal, general, and short-stay hospitals, conducted by the Centers for Disease Control and Prevention, National Center for Health Statistics. Investigators can freely download the database and test locally developed hypotheses. ¹

Between 2000 and 2011, *Annals of Emergency Medicine* has published 25 research studies using NHAMCS. Advantages of NHAMCS include its nationally representative statistical design, its broad array of variables, and its enormous sample size (348,367 ED visits from 2000 to 2009). A disadvantage of NHAMCS is that, unlike traditional chart reviews, investigators lack access to the source medical records to resolve questions or discrepancies.

During a recent separate NHAMCS analysis,² a visit was noted in which a child with a complaint of "scabies" was recorded as both having received intubation and being discharged from the emergency department (ED). An informal review of further visits with intubation revealed other similar anomalies.

Importance

Although it is theoretically possible that ED patients could be intubated, extubated, and then not admitted, this would appear to be a highly unusual circumstance. If such scenarios represent data discrepancies that are not infrequent, this could highlight a limitation or error within the NHAMCS data collection structure.

Goals of This Investigation

To quantify the frequency and nature of apparent chart abstraction discrepancies relating to one variable in NHAMCS, this study descriptively reviewed the congruence of disposition for ED patients recorded as having intubation.

MATERIALS AND METHODS Study Design

This is an unadjusted, descriptive analysis of the NHAMCS database. NHAMCS uses a 4-stage probability sampling design to create a database of ED visits that is nationally representative. At participating hospitals, NHAMCS field representatives or hospital personnel abstract charts for a systematic random sample of patient visits during a randomly assigned 4-week reporting period. Data include demographics, complaints,

Editor's Capsule Summary

What is already known on this topic

The National Hospital Ambulatory Medical Care Survey (NHAMCS) database is frequently analyzed to understand phenomena in emergency medicine. Although the sampling strategy for NHAMCS is quite sophisticated, chart abstraction methods may be suboptimal.

What question this study addressed

To assess the quality of the NHAMCS database, 10 years' worth of data were analyzed to determine how many patients who reportedly received intubation were recorded as being sent home from the emergency department or admitted to a non-ICU setting, findings that would suggest that at least 1 variable was inaccurately recorded.

What this study adds to our knowledge According to NHAMCS, 1 in 4 intubated patients was sent home or admitted to a non-ICU setting.

How this is relevant to clinical practice
This study raises serious questions about the data quality of NHAMCS that may change the interpretation of published articles and future inquiries that use this database.

diagnoses, procedures, therapy, and disposition. Further information about this database can be found at http://www.cdc.gov/nchs/ahcd.htm.

The study was designated as exempt from review by the Loma Linda University Institutional Review Board.

Selection of Participants

NHAMCS annual databases for the most recent 10 years available (2000 through 2009) were downloaded and combined, with adjustment for coding differences between years. For each NHAMCS visit, intubation is one of several procedures recorded as either provided or not provided, and this study included all ED visits in which intubation was recorded as provided. No visits were otherwise excluded.

Methods of Measurement

Patient disposition was assessed by examining the binary NHAMCS variables "admit to hospital," "admit to ICU/CCU," "transfer to different hospital," and "admit to observation unit." From 2005 onward, NHAMCS also reported multiple types of hospital units for each admission, and these were also recorded. Death in the ED was recorded as present or absent, using the binary variable "dead on arrival/died in the ED" from 2000 to

2008 and by combining the separate variables "dead on arrival" and "died in ED" for 2009 data.

A patient disposition compatible with intubation was defined as death on ED arrival, death in the ED, admission to a critical care unit, transfer to another facility, or transport from the ED to either the operating room or the cardiac catheterization laboratory. A patient disposition incompatible with intubation was defined as admission to a non–critical care unit, an observation unit, or ED discharge.

For context the free text data field "cause of injury—verbatim text" and the primary *International Classification of Diseases, Ninth Revision (ICD-9)* diagnosis were reviewed when available.

Given that in a previous study differences were found in NHAMCS accuracy when charts were abstracted by NHAMCS's own field representatives versus hospital staff,³ this variable was also descriptively reported. This was done with the actual reported NHAMCS results and additionally after adjusting for a recording error described in the NHAMCS documentation in which the category of "hospital staff" was reversed with one category for "census field representative" for 2001 to 2008.

Primary Data Analysis

The disposition for visits including intubation was reported descriptively. Because the study objective was to study the NHAMCS database itself rather than any population-based estimates, no adjustments were made for the weighted, multistage, stratified survey design.

Confidence intervals (CIs) were calculated with Stata (version 12; StataCorp, College Station, TX).

RESULTS

Characteristics of Study Subjects

Of the 348,367 ED visits included in NHAMCS from 2000 to 2009, 875 (0.3%) were reported as having chart documentation of intubation.

In 37 (4%) of these visits, there was conflicting information about disposition. For the 14 conflicts that included a disposition both compatible with and incompatible with intubation, the former was presumed correct for this analysis. In 9 visits, patients were described as being admitted both to a critical care unit and to an observation unit; in these circumstances, a critical care unit was recorded as the correct assignment. In 3 cases, patients were noted to have been admitted to a non–critical care unit and also transferred to another hospital; in these circumstances, transfer was recorded as the correct assignment. In 2 cases, patients were noted to have not been admitted but then also admitted to a critical care unit; in these circumstances, a critical care unit was recorded as the correct assignment.

There were 23 conflicts that did not change the assignment about compatibility with intubation. In 11 cases, patients were noted to have both died in the ED and been admitted to a critical care unit; in these circumstances, death was recorded as

Table. Disposition of intubated patients in NHAMCS 2000 to 2009 (n=875).

| Item | Subtotal (%) | Total (%) |
|-------------------------------------------------------|--------------|-----------|
| Disposition incompatible with intubation | | 234 (27) |
| Not admitted (and no death on arrival or in ED) | 81 (9) | |
| Admission to stepdown or telemetry | 13 (1) | |
| Admission to observation unit (<24 h) | 12 (1) | |
| Admission to mental health or detoxification unit | 1 (0.1) | |
| Admission to other non–critical care unit | 127 (15) | |
| Disposition potentially compatible with intubation | 121 (10) | 641 (73) |
| Admission to critical care unit | 340 (39) | |
| Dead on arrival or died in the ED | 189 (22) | |
| Taken to operating room or catheterization laboratory | 18 (2) | |
| Transfer to another hospital | 94 (11) | |

the assignment. In 11 cases, patients were noted to have been admitted to a critical care unit and transferred to another hospital; in these circumstances, a critical care unit was recorded as the assignment. In 1 case, a patient was noted to have been taken to the operating room and also transferred to another hospital; in this circumstance, the operating room was recorded as the assignment.

Main Results

As shown in the Table, 27% of patients had dispositions incompatible with intubation. More such incompatibilities were noted earlier in the 10 data file years: 24, 29, 38, 34, 27, 16, 18, 19, 15, and 14 for 2000 to 2009, respectively. Details about these incompatible patient records are detailed in Appendix E1, available online at http://www.annemergmed.com.

Free text entries about "cause of injury" (Appendix E1, available online at http://www.annemergmed.com) were available for only 97 of the 234 incompatible visits and in many cases were too brief or vague to permit meaningful context. However, for several visits the free text entries indicated a nearcertain probability of critical care admission or death, eg, 'gunshot wound to sternum," "dragged by car for 30 feet," "gunshot wound to head," "found down in coma near bike in street," "unconscious driver—vehicle left road," "multiple gunshot wounds—unresponsive." Similarly, ICD-9 codes for primary diagnoses often were compatible with intubation, eg, "cardiac arrest," "instantaneous death," "acute respiratory failure." In these cases, the apparent error was due to either incorrectly identifying the disposition during chart abstraction or a later keying error. Indeed, 18 of the incompatible visits were also reported to include cardiopulmonary resuscitation.

For several other visits, the discrepancy appeared to be with intubation. Free text entries such as "leg numbness," "finger injury," "doctor at hospital pushing fluids in intravenous line had splash in eye," "motor vehicle accident no obvious signs of trauma," "g-tube dislodged," "possible kidney stone," "scabies,"

"low potassium × 2 weeks," and "wrist hurts" indicate a compelling probability that intubation did not actually occur. Similarly, *ICD-9* codes for primary diagnoses often were incompatible with intubation, eg, "anxiety state," "migraine," "photokeratitis," "essential hypertension," "cellulitis," "pilonidal cyst," "rash," "sprain of wrist," "internal hemorrhoids," "acute tonsillitis." In these cases, the apparent error was due to either incorrectly identifying intubation during chart abstraction or a later keying error. Free text entries or *ICD-9* primary diagnoses in 11 entries referred to tracheostomies, suggesting that chart reviewers may have considered tracheostomy tube care to represent intubation.

Descriptions of the personnel who performed the chart reviews were unavailable for 14% (120/875) of the intubation visits as follows: 85 "multiple categories checked," 31 "unknown," 2 "other," and 2 "blank." For the remaining 755 visits, using actual variables displayed in NHAMCS, the fraction of dispositions incompatible with intubation was 36% (127/357) for charts recorded by hospital staff and 15% (61/398) for charts recorded by NHAMCS field representatives (difference 21%; 95% CI 14% to 26%). After adjusting for the NHAMCS-acknowledged data reversal, the fraction of dispositions incompatible with intubation was 18% (61/346) for charts recorded by hospital staff and 31% (127/409) for charts recorded by NHAMCS field representatives (difference 13%; 95% CI 7% to 19%).

LIMITATIONS

Given that original NHAMCS medical records are not available for review, this study was unable to identify whether the identified discrepancies resulted from errors in chart abstraction, errors in keying in data, or a combination of the two.

This study is also limited in that not all dispositions can be classified as unquestionably compatible with or incompatible with intubation. Although ED discharge and admission to a non–critical care unit seem clearly incompatible with intubation, others that represent a large portion of our sample (eg, ICU admission, transfer to another hospital, operating room, cardiac catheterization laboratory) may include both intubated and nonintubated patients. Because disposition errors can thus not be identified in this subset, all were coded as compatible with intubation in this analysis. Accordingly, our results may underestimate the magnitude of the discrepancies.

DISCUSSION

In this analysis of 10 years' worth of NHAMCS ED visits by patients recorded as having received intubation, apparent disposition discrepancies were noted in more than one fourth of the 875 visits studied. Intubated patients were described as discharged from the ED (9%) or admitted to non–critical care units (17%), dispositions incompatible with this procedure. The errors included both the intubation and admission variables and were more frequent during the early portion of the 10 years studied.

In 2010, Schuur et al³ observed a similar NHAMCS anomaly while studying pregnancy testing in ED patients with abdominal pain. They found that only 56% of patients with a diagnosis of ectopic pregnancy had a pregnancy test ordered, despite this diagnosis inherently requiring verification of pregnancy. They could not ascertain whether the recording errors were associated with the test or the diagnosis.

NHAMCS is widely used for medical research, and its studies traditionally carry unusual weight because of their enormous sample size and the nationally representative statistical design. This analysis and that of Schuur et al³ raise important questions about the accuracy and reliability of the NHAMCS data abstraction process. How could such frequent and important errors arise in such a sophisticated database? One explanation is that, despite its chart review format, NHAMCS does not wholly comply with widely accepted methodological principles for retrospective research as outlined in 1996 by Gilbert et al.⁴

An important chart review recommendation omitted by NHAMCS is the verification of abstraction reliability. Most researchers do this by having a second reviewer independently reabstract a random sample of charts and then quantify the observed level of agreement. When abstracted variables display poor interobserver agreement, they are therefore not reliable enough to be analyzed. Unfortunately, the reliability of NHAMCS data abstraction is unknown.

The second and more fundamental area of NHAMCS noncompliance with the principles of Gilbert et al⁴ would appear to be abstractor training. Most traditional retrospective research is conducted by clinically oriented personnel (eg, physicians, medical students, nurses) who possess familiarity with chart organization, terminology, and medical shorthand. For NHAMCS, however, data coding is performed by "hospital personnel" or "field representatives," and the latter may be high school graduates with no formal health training. 1 It is possible that these individuals lack sufficient medical knowledge to reliably interpret medical records. If one does not recognize that "intubated" or "rapid sequence" or "ETT" means "intubation," the abstraction of this procedure cannot be reliable. Similarly, if one does not recognize that "HCG" means a pregnancy test, this variable will be incorrectly recorded. In both this study and that of Schuur et al,³ coding accuracy was different when hospital personnel and NHAMCS field representatives were compared, supporting the premise that differential abstractor quality is an important contributor to data accuracy.

An additional factor to be considered is that local retrospective research typically includes chart abstraction conducted by study authors, who are inherently motivated to ensure accuracy in their review. Chart reviews conducted by disinterested staff who are not vested in the outcome may not be as reliable.

The uniqueness of this study and that of Schuur et al³ is that we studied variables that can be expected to have a clear and

definite association, thus permitting us to identify inconsistencies in the underlying data. Most studies, however, include no such check and instead report results that cannot necessarily be questioned. A recent *Annals* NHAMCS study, for example, noted a surprisingly low frequency of analgesic administration to elderly ED patients. ⁵ Is this because emergency physicians are actually unduly stingy with these drugs or because the NHAMCS chart abstractors often overlooked analgesics on charts because of poor legibility, unfamiliar trade names, or unknown abbreviations?

During traditional chart reviews, investigators can readily resolve questions or discrepancies about their data or any given abstractor by going back to the source charts. This is impossible with NHAMCS, and investigators must assume without verification that the underlying data are valid. It can be presumed that in some circumstances these types of coding errors might diametrically alter study conclusions.

In summary, this analysis found a clinically important rate of apparent discrepancies relating to intubation in the widely studied NHAMCS database.

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Appendix E1. NHAMCS intubation entries with a noncongruent disposition (n=234).*

| Disposition | File Year | Age | Verbatim Text | Principal <i>ICD-9</i> Diagnosis |
|--------------------------------------------------|--------------|-----|---------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| Not admitted and no death on arrival or in ED | 2004 | 16 | None | 0 Unknown |
| Not admitted and no death on arrival or in ED | 2007 | 54 | None | 0389 Unspecified septicemia |
| Not admitted and no death on arrival or in ED | 2009 | 23 | Unconscious unknown restrained driver-vehicle left road | 2859 Anemia, unspecified |
| Not admitted and no death on arrival or in ED | 2003 | 54 | Drinking heavily | 29181 Alcohol withdrawal |
| Not admitted and no death on arrival or in ED | 2007 | 24 | None | 30000 Anxiety state, unspecified |
| Not admitted and no death on arrival or in ED | 2002 | 43 | Polysubstance abuse alcoholic coma | 30590 Other, mixed, or unspecified drug abuse, unspecified |
| Not admitted and no death on arrival or in ED | 2000 | 27 | None | 34690 Migraine, unspecified, without mention of intractable migraine without mention of status migrainosus |
| Not admitted and no death on arrival or in ED | 2004 | 37 | None | 37024 Photokeratitis |
| Not admitted and no death on arrival or in ED | 2005 | 95 | Weakness hypertension | 4019 Unspecified essential hypertension |
| Not admitted and no death on arrival or in ED | 2002 | 81 | None | 41091 Acute myocardial infarction of unspecified site |
| Not admitted and no death on arrival or in ED | 2000 | 0 | None | 4275 Cardiac arrest |
| Not admitted and no death on arrival or in ED | 2000 | 83 | None | 4275 Cardiac arrest |
| Not admitted and no death on arrival or in ED | 2003 | 76 | None | 4275 Cardiac arrest |
| Not admitted and no death on arrival or in ED | 2005 | 100 | Chest pain, <i>ICD</i> discharge, hypertension | 4275 Cardiac arrest |
| Not admitted and no death on arrival or in ED | 2005 | 44 | Bad headache for past several days | 431 Intracerebral hemorrhage |
| Not admitted and no death on arrival or in ED | 2007 | 41 | None | 4659 Acute upper respiratory infections of unspecified site |
| Not admitted and no death on arrival or in ED | 2005 | 44 | Cough fever | 486 Pneumonia, organism unspecified |
| Not admitted and no death on arrival or in ED | 2004 | 1 | None | 490 Bronchitis, not specified as acute or chronic |
| Not admitted and no death on arrival or in ED | 2001 | 61 | None | 49390 Asthma, unspecified type, unspecifie |
| Not admitted and no death on arrival or in ED | 2000 | 51 | None | 51881 Acute respiratory failure |
| Not admitted and no death on arrival or in ED | 2000 | 72 | None | 51902 Mechanical complication of tracheostomy |
| Not admitted and no death on arrival or in ED | 2001 | 35 | None | 53550 Unspecified gastritis and gastroduodenitis |
| Not admitted and no death on arrival or in ED | 2002 | 40 | Used cocaine last night | 53550 Unspecified gastritis and gastroduodenitis |
| Not admitted and no death on arrival or in ED | 2003 | 20 | None | 53550 Unspecified gastritis and gastroduodenitis |
| Not admitted and no death on arrival or in ED | 2007 | 18 | None | 53550 Unspecified gastritis and gastroduodenitis |
| Not admitted and no death on arrival or in ED | 2005 | 89 | Other complaints G-tube dislodged | 53642 Mechanical complication of gastrostomy |
| Not admitted and no death on arrival or in ED | 2001 | 56 | None | 5589 Other and unspecified noninfectious gastroenteritis and colitis |
| Not admitted and no death on arrival or in ED | 2001 | 84 | None | 5997 Hematuria |
| Not admitted and no death on arrival or in ED | 2003 | 14 | None | 6823 Cellulitis and abscess of upper arm and forearm |
| Not admitted and no death on arrival or in ED | 2002 | 20 | None | 6851 Pilonidal cyst without mention of abscess |

Appendix E1. Continued.

| Disposition | File Year | Age | Verbatim Text | Principal <i>ICD-9</i> Diagnosis |
|-----------------------------------------------|--------------|-----|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| Not admitted and no death on arrival or in ED | 2005 | 22 | Foreign objects in throat | 7503 Tracheoesophageal fistula, esophagea atresia and stenosis |
| Not admitted and no death on arrival or in ED | 2001 | 37 | None | 78039 Other convulsions |
| Not admitted and no death on arrival or in ED | 2001 | 2 | None | 7806 Fever of unknown origin |
| Not admitted and no death on arrival or in ED | 2006 | 85 | Weakness and nausea low potassium (BP) \times 2 wks | 78079 Other malaise and fatigue |
| Not admitted and no death on arrival or in ED | 2006 | 2 | Scabies | 7821 Rash and other nonspecific skin eruption |
| Not admitted and no death on arrival or in ED | 2004 | 3 | Post op pericardial effusion | 78609 Other respiratory abnormalities |
| Not admitted and no death on arrival or in ED | 2000 | 37 | None | 78652 Painful respiration |
| Not admitted and no death on arrival or in ED | 2006 | 61 | Chest pain since 8:15 had stent placed 3 wks ago | 78659 Other chest pain |
| Not admitted and no death on arrival or in ED | 2006 | 17 | Nausea+vomiting—still can't keep anything down—seen in March | 78703 Vomiting alone |
| Not admitted and no death on arrival or in ED | 2006 | 29 | Possible kidney stone L flank pain | 78900 Abdominal pain, unspecified site |
| Not admitted and no death on arrival or in ED | 2006 | 11 | Stomach hurts | 78905 Abdominal pain, periumbilical |
| Not admitted and no death on arrival or in ED | 2003 | 42 | None | 78906 Abdominal pain, epigastric |
| Not admitted and no death on arrival or in ED | 2004 | 20 | None | 78909 Abdominal pain, other specified site |
| Not admitted and no death on arrival or in ED | 2007 | 60 | None | 7981 Instantaneous death |
| Not admitted and no death on arrival or in ED | 2002 | 12 | None | 7999 Unspecified viral infection |
| Not admitted and no death on arrival or in ED | 2004 | 39 | None | 7999 Unspecified viral infection |
| Not admitted and no death on arrival or in ED | 2009 | 79 | None | 7999 Unspecified viral infection |
| Not admitted and no death on arrival or in ED | 2009 | 15 | Tripped and fell at school | 8024 Closed fracture of malar and maxillary bones |
| Not admitted and no death on arrival or in ED | 2001 | 7 | None | 81307 Other and unspecified closed fractures of proximal end of radius (alone) |
| Not admitted and no death on arrival or in ED | 2004 | 8 | Mvc | 82392 Open fracture of unspecified part of fibula with tibia |
| Not admitted and no death on arrival or in ED | 2006 | 23 | Wrist hurts | 84200 Sprain of wrist, unspecified site |
| Not admitted and no death on arrival or in ED | 2003 | 14 | Fracture | 84210 Sprain of hand, unspecified site |
| Not admitted and no death on arrival or in ED | 2009 | 34 | MVA | 8509 Concussion, unspecified |
| Not admitted and no death on arrival or in ED | 2007 | 76 | Struck by car | 8690 Internal injury to unspecified or ill- defined organs without mention of open wound into cavity |
| Not admitted and no death on arrival or in ED | 2007 | 9 | Head hit by golf ball | 87342 Open wound of forehead, without mention of complication |
| Not admitted and no death on arrival or in ED | 2002 | 34 | Stab wound | 8798 Open wound(s) (multiple) of unspecified site(s), without mention of complication |
| Not admitted and no death on arrival or in ED | 2005 | 21 | Level 1 trauma, multiple GSW to abdomen and L leg while in car—intubated and unresponsive | 8798 Open wound(s) (multiple) of unspecified site(s), without mention of complication |
| Not admitted and no death on arrival or in ED | 2005 | 73 | Fell | 88100 Open wound of forearm, without mention of complication |

Appendix E1. Continued.

| Disposition | File Year | Age | Verbatim Text | Principal ICD-9 Diagnosis |
|-----------------------------------------------|--------------|-----|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| Not admitted and no death on arrival or in ED | 2006 | 32 | Finger injury | 8830 Open wound of finger(s), without mention of complication |
| Not admitted and no death on arrival or in ED | 2007 | 83 | Fall—10 days ago—stood up adn fell against wheel chair | 9221 Contusion of chest wall |
| Not admitted and no death on arrival or in ED | 2001 | 82 | Foreign body in esophagus | 9351 Foreign body in esophagus |
| Not admitted and no death on arrival or in ED | 2003 | 70 | Amitriptyline caught in esophagus | 9351 Foreign body in esophagus |
| Not admitted and no death on arrival or in ED | 2003 | 70 | Foreign body in esophagus | 9351 Foreign body in esophagus |
| Not admitted and no death on arrival or in ED | 2006 | 38 | (R) Abd. pain L arm & L leg numbness | 95911 Head injury, unspecified |
| Not admitted and no death on arrival or in ED | 2006 | 21 | MVA—L wrist back and head 0 obvious signes of trauma | 9592 Shoulder and upper arm injury |
| Not admitted and no death on arrival or in ED | 2002 | 34 | Suicide attempt | 9599 Unspecified site injury |
| Not admitted and no death on arrival or in ED | 2002 | 47 | Heroin overdose | 96501 Head injury, unspecified |
| Not admitted and no death on arrival or in ED | 2002 | 30 | States she scraped off a 25 mg fentanyl patch and injected it | 96509 Poisoning by other opiates and related narcotics |
| Not admitted and no death on arrival or in ED | 2002 | 1 | Electrical shock | 9948 Electrocution and nonfatal effects of electric current |
| Not admitted and no death on arrival or in ED | 2003 | 16 | Hemorrhage postoperative tonsillectomy | 99811 Hemorrhage complicating a procedur |
| Not admitted and no death on arrival or in ED | 2004 | 39 | Trach with granulation tissue | 99859 Other postoperative infection |
| Not admitted and no death on arrival or in ED | 2002 | 78 | None | V103 Personal history of malignant neoplas of breast |
| Not admitted and no death on arrival or in ED | 2003 | 25 | Doctor at hospital pushing fluids in intravenous line had splash in eye | V1585 Personal history of contact with and (suspected) exposure to potentially hazardous body fluids |
| Not admitted and no death on arrival or in ED | 2001 | 52 | None | V550 Attention to tracheostomy |
| Not admitted and no death on arrival or in ED | 2002 | 36 | None | V550 Attention to tracheostomy |
| Not admitted and no death on arrival or in ED | 2003 | 37 | None | V550 Attention to tracheostomy |
| Not admitted and no death on arrival or in ED | 2003 | 63 | None | V550 Attention to tracheostomy |
| Not admitted and no death on arrival or in ED | 2004 | 83 | None | V550 Attention to tracheostomy |
| Not admitted and no death on arrival or in ED | 2005 | 74 | Coughed and trache came out unable to get back in | V550 Attention to tracheostomy |
| Not admitted and no death on arrival or in ED | 2005 | 54 | Patient pulled tracheal tube out | V550 Attention to tracheostomy |
| Not admitted and no death on arrival or in ED | 2007 | 45 | None | V550 Attention to tracheostomy |
| Admission to stepdown or telemetry | 2008 | 42 | None | 27800 Obesity, unspecified |
| dmission to stepdown or telemetry | 2008 | 59 | None | 486 Pneumonia, organism unspecified |
| Admission to stepdown or telemetry | 2008 | 66 | None | 51881 Acute respiratory failure |
| Admission to stepdown or telemetry | 2008 | 87 | None | 5789 Hemorrhage of gastrointestinal tract, unspecified |
| Admission to stepdown or telemetry | 2008 | 78 | None | 7280 Infective myositis |
| Admission to stepdown or telemetry | 2008 | 43 | None | 78039 Other convulsions |
| Admission to stepdown or telemetry | 2008 | 35 | None | 78097 Altered mental status |

Appendix E1. Continued.

| Disposition | File Year | Age | Verbatim Text | Principal <i>ICD-9</i> Diagnosis |
|--------------------------------------------------------------------|--------------|----------|--------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| Admission to stepdown or telemetry | 2008 | 58 | None | 78097 Altered mental status |
| Admission to stepdown or telemetry | 2008 | 55 | Alcohol intoxication | 78097 Altered mental status |
| Admission to stepdown or telemetry | 2007 | 60 | None | 78609 Other respiratory abnormalities |
| Admission to stepdown or telemetry | 2009 | 77 | None | 78820 Retention of urine, unspecified |
| Admission to stepdown or telemetry | 2008 | 73 | None | 9222 Contusion of abdominal wall |
| Admission to stepdown or telemetry | 2008 | 45 | Intentional phenobarbital overdose | 9670 Poisoning by barbiturates |
| Admission to observation unit | 2005 | 25 | Suicide attempt/poisoning drinking ink from pen nausea/ dizziness/vomiting $	imes 2$ | 311 Depressive disorder, not elsewhere classified |
| Admission to observation unit | 2004 | 68 | None | 4139 Other and unspecified angina pectoris |
| Admission to observation unit | 2008 | 90 | None | 4552 Internal hemorrhoids with other complication |
| Admission to observation unit | 2002 | 55 | None | 51881 Acute respiratory failure |
| Admission to observation unit | 2009 | 49 | Tracheostomy complication | 51909 Other tracheostomy complications |
| Admission to observation unit | 2003 | 55 | None | 5539 Hernia of unspecified site without mention of obstruction or gangrene |
| Admission to observation unit | 2007 | 56 | None | 73313 Pathologic fracture of vertebrae |
| Admission to observation unit | 2004 | 44 | None | 78652 Painful respiration |
| Admission to observation unit | 2007 | 54 | Assault—aggravated unarmed fight or brawl and alcohol abuse | 8738 Other and unspecified open wound of head without mention of complication |
| Admission to observation unit | 2007 | 30 | Hit repeatedly with a steel garbage can | 8738 Other and unspecified open wound of head without mention of complication |
| Admission to observation unit | 2008 | 22 | Took an unknown quantity of Wellbutrin about 2pm—found by mom | 9690 Code out of use |
| Admission to observation unit | 2007 | 24 | None | 9951 Angioneurotic edema, not elsewhere classified |
| Admission to mental health or detox unit | 2009 | 21 | Intoxicated, fell on face | 78097 Altered mental status |
| Admission to other non–critical care unit | 2009 | 61 | None | 0389 Unspecified septicemia |
| Admission to other non–critical care unit | 2000 | 19 | None | 1363 Pneumocystosis |
| Admission to other non–critical care unit | 2000 | 71 | None | 1509 Malignant neoplasm of esophagus, unspecified site |
| Admission to other non-critical care unit | 2001 | 59 | None | 1509 Malignant neoplasm of esophagus, unspecified site |
| Admission to other non-critical care unit | 2002 | 81 | None | 25000 Diabetes mellitus without mention of complication |
| Admission to other non–critical care unit | 2001 | 42 | None | 25010 Diabetes with ketoacidosis |
| Admission to other non–critical care unit | 2000 | 54 | None | 25080 Diabetes with other specified manifestations |
| Admission to other non-critical care unit | 2009 | 83 | None | 2721 Pure hyperglyceridemia |
| Admission to other non–critical care unit Admission to other | 2002 | 90 76 | None | 2859 Anemia, unspecified 3481 Anoxic brain damage |
| non-critical care unit | | | | _ |
| Admission to other non–critical care unit | 2000 | 88 | None | 4019 Unspecified essential hypertension |
| Admission to other non–critical care unit | 2001 | 61 | None | 41011 Acute myocardial infarction of other anterior wall |

Appendix E1. Continued.

| Disposition | File Year | Age | Verbatim Text | Principal <i>ICD-9</i> Diagnosis |
|------------------------|--------------|-----|----------------------------------|--------------------------------------------|
| Admission to other | 2002 | 83 | None | 41071 Subendocardial infarction |
| non-critical care unit | | | | |
| Admission to other | 2002 | 77 | None | 41090 Acute myocardial infarction of |
| non-critical care unit | | | | unspecified site |
| dmission to other | 2006 | 80 | Chest pain | 4111 Intermediate coronary syndrome |
| non-critical care unit | | | | |
| dmission to other | 2001 | 71 | None | 41401 Coronary atherosclerosis of native |
| non-critical care unit | | | | coronary artery |
| dmission to other | 2000 | 79 | None | 4275 Cardiac arrest |
| non-critical care unit | | | | |
| dmission to other | 2001 | 63 | None | 4275 Cardiac arrest |
| non-critical care unit | 2001 | | | .2.0 04.4.40 4.1000 |
| dmission to other | 2001 | 74 | None | 4275 Cardiac arrest |
| | 2001 | 74 | None | 4275 Cardiac arrest |
| non-critical care unit | 0000 | 22 | Name | 407F O-milion - march |
| dmission to other | 2003 | 33 | None | 4275 Cardiac arrest |
| non-critical care unit | | | | |
| dmission to other | 2003 | 63 | None | 4275 Cardiac arrest |
| non-critical care unit | | | | |
| dmission to other | 2003 | 79 | None | 4275 Cardiac arrest |
| non-critical care unit | | | | |
| dmission to other | 2004 | 49 | None | 4275 Cardiac arrest |
| non-critical care unit | | | | |
| dmission to other | 2004 | 81 | None | 4275 Cardiac arrest |
| non-critical care unit | 200. | 0_ | | 12.0 00.0.00 |
| dmission to other | 2000 | 58 | None | 4280 Congestive heart failure, unspecified |
| non-critical care unit | 2000 | 38 | None | 4200 Congestive heart failure, unspecified |
| | 2000 | 62 | Nana | 4000 Congostive boost failure unanceifice |
| dmission to other | 2000 | 63 | None | 4280 Congestive heart failure, unspecified |
| non-critical care unit | 0000 | 07 | | 400.0 |
| dmission to other | 2003 | 67 | None | 430 Subarachnoid hemorrhage |
| non–critical care unit | | | | |
| dmission to other | 2002 | 77 | None | 431 Intracerebral hemorrhage |
| non–critical care unit | | | | |
| dmission to other | 2001 | 84 | Supratherapeutic anticoagulation | 4329 Unspecified intracranial hemorrhage |
| non-critical care unit | | | | |
| dmission to other | 2003 | 87 | None | 4371 Other generalized ischemic |
| non-critical care unit | | | | cerebrovascular disease |
| dmission to other | 2005 | 62 | SOB chest pains | 44101 Dissection of aorta, thoracic |
| non-critical care unit | | | • | , |
| dmission to other | 2006 | 26 | PTA | 463 Acute tonsillitis |
| non-critical care unit | 2000 | 20 | | 100 Additional Continue |
| dmission to other | 2003 | 2 | None | 4659 Acute upper respiratory infections of |
| | 2003 | 2 | None | |
| non-critical care unit | 0000 | 7.0 | Nicola | unspecified site |
| dmission to other | 2000 | 73 | None | 481 Pneumococcal pneumonia |
| non-critical care unit | | _ | | |
| dmission to other | 2000 | 6 | None | 48230 Pneumonia due to streptococcus, |
| non–critical care unit | | | | unspecified |
| dmission to other | 2000 | 30 | None | 486 Pneumonia, organism unspecified |
| non-critical care unit | | | | |
| dmission to other | 2003 | 18 | None | 486 Pneumonia, organism unspecified |
| non-critical care unit | | | | |
| dmission to other | 2003 | 66 | None | 486 Pneumonia, organism unspecified |
| non-critical care unit | | | | |
| dmission to other | 2004 | 79 | None | 486 Pneumonia, organism unspecified |
| non-critical care unit | 2004 | 13 | 110110 | 155 i neumoma, organism unspecimeu |
| | 2005 | 4 - | Difficulty broathing | 496 Droumonia ardaniara arra-ida d |
| dmission to other | 2005 | 45 | Difficulty breathing | 486 Pneumonia, organism unspecified |
| non-critical care unit | | | | |
| dmission to other | 2006 | 67 | Pale, HX of lung cancer weak, | 486 Pneumonia, organism unspecified |
| non-critical care unit | | | not eating or drinking dry | |
| | | | mouth-feeling lousy | |
| dmission to other | 2002 | 65 | None | 49121 Obstructive chronic bronchitis with |
| non-critical care unit | | | | (acute) exacerbation |

Appendix E1. Continued.

| Disposition | File Year | Age | Verbatim Text | Principal <i>ICD-9</i> Diagnosis |
|------------------------|--------------|-----|-----------------------------|-------------------------------------------|
| Admission to other | 2007 | 71 | None | 49121 Obstructive chronic bronchitis with |
| non-critical care unit | 0000 | 0.4 | News | (acute) exacerbation |
| Admission to other | 2003 | 64 | None | 496 Chronic airway obstruction, not |
| non-critical care unit | 0000 | 70 | M | elsewhere classified |
| Admission to other | 2000 | 76 | None | 5119 Unspecified pleural effusion |
| non-critical care unit | | | | |
| Admission to other | 2002 | 68 | None | 515 Postinflammatory pulmonary fibrosis |
| non-critical care unit | | | | |
| Admission to other | 2001 | 82 | None | 5184 Acute edema of lung, unspecified |
| non-critical care unit | | | | |
| Admission to other | 2000 | 47 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | |
| Admission to other | 2000 | 86 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | |
| Admission to other | 2001 | 66 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | |
| Admission to other | 2002 | 58 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | |
| Admission to other | 2002 | 71 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | |
| Admission to other | 2002 | 87 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | |
| Admission to other | 2002 | 97 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | |
| Admission to other | 2004 | 61 | None | 51881 Acute respiratory failure |
| non-critical care unit | | | | ,,, |
| Admission to other | 2004 | 67 | None | 51881 Acute respiratory failure |
| non-critical care unit | 200. | ٠. | | order route respiratory rainare |
| Admission to other | 2004 | 71 | None | 51881 Acute respiratory failure |
| non-critical care unit | 2001 | | 110110 | oroginatory famare |
| Admission to other | 2004 | 87 | None | 51881 Acute respiratory failure |
| non-critical care unit | 2004 | 01 | None | 31001 Addic respiratory failure |
| Admission to other | 2004 | 88 | None | 51881 Acute respiratory failure |
| non-critical care unit | 2004 | 00 | None | 31001 Addic respiratory failure |
| Admission to other | 2007 | 83 | None | 51881 Acute respiratory failure |
| | 2001 | 03 | Notic | S1001 Acute respiratory failure |
| non-critical care unit | 0004 | 77 | Ness | F4.000 Other mulas are in the income |
| Admission to other | 2001 | 77 | None | 51882 Other pulmonary insufficiency, not |
| non-critical care unit | 0004 | 70 | None | elsewhere classified |
| Admission to other | 2001 | 70 | None | 53390 Peptic ulcer of unspecified site |
| non-critical care unit | 0000 | 00 | | 550401 |
| Admission to other | 2002 | 88 | None | 55010 Inguinal hernia, with obstruction, |
| non-critical care unit | | | | without mention of gangrene |
| Admission to other | 2006 | 79 | Nausea, vomiting | 5589 Other and unspecified noninfectious |
| non-critical care unit | | | | gastroenteritis and colitis |
| Admission to other | 2000 | 41 | None | 5789 Hemorrhage of gastrointestinal trac |
| non-critical care unit | | | | unspecified |
| Admission to other | 2002 | 50 | None | 5789 Hemorrhage of gastrointestinal trac |
| non-critical care unit | | | | unspecified |
| Admission to other | 2003 | 78 | None | 5789 Hemorrhage of gastrointestinal trac |
| non-critical care unit | | | | unspecified |
| Admission to other | 2003 | 83 | None | 5789 Hemorrhage of gastrointestinal trac |
| non-critical care unit | | | | unspecified |
| Admission to other | 2005 | 79 | Blood with bowel movement 4 | 5789 Hemorrhage of gastrointestinal trac |
| non-critical care unit | | | times since midnight | unspecified |
| Admission to other | 2006 | 57 | Sent to ER by PMD for a | 5789 Hemorrhage of gastrointestinal trac |
| non-critical care unit | | | bleeding stomach ulcer | unspecified |
| Admission to other | 2000 | 67 | None | 5849 Acute kidney failure, unspecified |
| non-critical care unit | | | | , , |
| Admission to other | 2009 | 64 | None | 5849 Acute kidney failure, unspecified |
| non-critical care unit | | | | , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |

Appendix E1. Continued.

| Disposition | File Year | Age | Verbatim Text | Principal ICD-9 Diagnosis |
|------------------------------------------------------------------------|--------------|-----|-------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| Admission to other | 2004 | 51 | None | 5990 Urinary tract infection, site not |
| non–critical care unit Admission to other | 2009 | 20 | None | specified 6823 Cellulitis and abscess of upper arm |
| non-critical care unit | 2003 | 53 | Found face down in coma near | and forearm 78001 Coma |
| non–critical care unit | 2004 | 80 | bike in street None | 78009 Other alteration of consciousness |
| non-critical care unit | 2001 | 77 | None | 78039 Other convulsions |
| non–critical care unit Admission to other non–critical care unit | 2003 | 37 | Alcohol use | 78039 Other convulsions |
| dmission to other | 2007 | 0 | None | 78039 Other convulsions |
| dmission to other non-critical care unit | 2008 | 37 | None | 78079 Other malaise and fatigue |
| dmission to other non-critical care unit | 2003 | 82 | None | 78099 Other general symptoms |
| dmission to other non-critical care unit | 2004 | 44 | Overdose | 78099 Other general symptoms |
| dmission to other non–critical care unit | 2003 | 76 | None | 7842 Swelling, mass, or lump in head and neck |
| dmission to other non–critical care unit | 2002 | 77 | None | 7843 Aphasia |
| dmission to other non–critical care unit | 2001 | 79 | None | 78605 Shortness of breath |
| dmission to other non–critical care unit | 2005 | 60 | 4AM today developed abd pain, vomiting SP 02 on RA by paramedics was 88–909 w/ increased SOB after | 78609 Other respiratory abnormalities |
| dmission to other non–critical care unit | 2009 | 80 | None | 78609 Other respiratory abnormalities |
| dmission to other non-critical care unit | 2001 | 70 | None | 78650 Chest pain, unspecified |
| dmission to other non–critical care unit | 2005 | 73 | Vomiting | 78703 Vomiting alone |
| dmission to other non–critical care unit | 2003 | 72 | None | 78900 Abdominal pain, unspecified site |
| dmission to other non–critical care unit | 2009 | 52 | None | 78900 Abdominal pain, unspecified site |
| dmission to other non–critical care unit | 2001 | 63 | None | 7991 Respiratory arrest |
| dmission to other non–critical care unit | 2002 | 34 | Either struck by car or dragged by car for 30 ft | 80320 Other closed skull fracture with subarachnoid, subdural, and extradural hemorrhage |
| dmission to other non–critical care unit | 2002 | 5 | Unrestrained backseat passenger broadsided—thrown from vehicle—hit head | 80350 Other open skull fracture without mention of injury |
| dmission to other non-critical care unit | 2002 | 38 | High speed single car rollover involving cocaine use | 8052 Closed fracture of dorsal [thoracic] vertebra without mention of spinal cord injury |
| dmission to other non-critical care unit | 2003 | 67 | Motor vehicle accident | 80700 Closed fracture of rib(s), unspecified |
| dmission to other non-critical care unit | 2004 | 82 | Motor vehicle accident, driver of automobile | 80700 Closed fracture of rib(s), unspecified |
| dmission to other non-critical care unit | 2001 | 83 | Motor vehicle accident multiple fractures. Pt was in the passenger side of | 8080 Closed fracture of acetabulum |
| admission to other non–critical care unit | 2007 | 85 | Fell—lost balance | 8208 Closed fracture of unspecified part of neck of femur |

Appendix E1. Continued.

| Disposition | File Year | Age | Verbatim Text | Principal <i>ICD-9</i> Diagnosis |
|-------------------------------------------|--------------|-----|---------------------------------------------|---------------------------------------------------------------------------------|
| Admission to other | 2003 | 41 | Motorcycle rearended back of car | 82139 Other open fracture of lower end of femur |
| non-critical care unit | 0000 | 40 | Nana | 05200 0th |
| Admission to other | 2002 | 49 | None | 85300 Other and unspecified intracranial hemorrhage |
| non-critical care unit | 2001 | 24 | Kinkad by barea in right floor, and | following injury without mention of open intracranial wound |
| Admission to other | 2001 | 24 | Kicked by horse in right flank and | 8604 Traumatic pneumohemothorax without mention of |
| non-critical care unit | 2006 | 20 | right upper thorax | open wound into thorax |
| Admission to other non–critical care unit | 2006 | 38 | MVA—labored breathing bleeding | 86121 Contusion of lung without mention of open wound |
| Admission to other | 2004 | 38 | from airway unresponsive | into thorax |
| non-critical care unit | 2004 | 30 | Firearm GSW, self-inflicted GSW to head | 8738 Other and unspecified open wound of head without |
| Admission to other | 2008 | 18 | GSW to neck, assault with | mention of complication 8749 Open wound of other and unspecified parts of neck, |
| non-critical care unit | 2008 | 10 | handgun | complicated |
| Admission to other | 2006 | 45 | Gun shot wound sternum | 8750 Open wound of chest (wall), without mention of |
| non-critical care unit | 2000 | 45 | duli silot woulld stelliulli | complication |
| Admission to other | 2004 | 30 | Gunshot | 8792 Open wound of abdominal wall, anterior, without |
| non-critical care unit | 2004 | 30 | dulisliot | mention of complication |
| Admission to other | 2006 | 44 | S/P MVA abdominal contusion, | 8910 Open wound of knee, leg [except thigh], and ankle, |
| non-critical care unit | 2000 | | nasal contusion pain knees | without mention of complication |
| Admission to other | 2002 | 63 | Alcohol abuse and fell | 920 Contusion of face, scalp, and neck except eye(s) |
| non-critical care unit | 2002 | 00 | Albertor dedee and ren | ozo contación or race, ecaip, ana neon except eje(e) |
| Admission to other | 2000 | 82 | Eating dinner and noticed food | 9351 Foreign body in esophagus |
| non-critical care unit | | | not going down | |
| Admission to other | 2002 | 40 | Burn | 9492 Blisters, epidermal loss [second degree], unspecified |
| non-critical care unit | | | | site |
| Admission to other | 2000 | 55 | None | 9587 Traumatic subcutaneous emphysema |
| non-critical care unit | | | | |
| Admission to other | 2001 | 27 | ATV on road intubated by EMS | 95901 Head injury, unspecified |
| non-critical care unit | | | | |
| Admission to other | 2001 | 24 | Head on MVA | 95901 Head injury, unspecified |
| non-critical care unit | | | | |
| Admission to other | 2002 | 83 | Patient was a belted passenger | 95901 Head injury, unspecified |
| non-critical care unit | | | in a MVA | |
| Admission to other | 2002 | 38 | Pedestrian hit and run | 95901 Head injury, unspecified |
| non-critical care unit | | | | |
| Admission to other | 2003 | 37 | Hit head on passenger in back | 95901 Head injury, unspecified |
| non-critical care unit | | | seat not belted hit head on | |
| Administration to other | 0004 | 44 | roof and frt seat | 05004 Haad injury years if ad |
| Admission to other | 2004 | 41 | Unrestrained driver struck tree in | 95901 Head injury, unspecified |
| non-critical care unit | 2002 | 0 | auto | OFOO Uppromissing site injury |
| Admission to other non–critical care unit | 2002 | 0 | None | 9599 Unspecified site injury |
| Admission to other | 2004 | 46 | Person drag racing on | 9599 Unspecified site injury |
| non-critical care unit | 2004 | 46 | motorcycle, lost control | 9099 Unspecified site injury |
| Admission to other | 2000 | 40 | Drug intoxication | 9685 Poisoning by surface (topical) and infiltration |
| non-critical care unit | 2000 | 40 | Drug intoxioation | anesthetics |
| Admission to other | 2002 | 29 | Intentional drug overdose | 9779 Poisoning by unspecified drug or medicinal substance |
| non-critical care unit | 2002 | 20 | memeral and everage | or to to looming by unoposition unug of mouleman substance |
| Admission to other | 2003 | 55 | May be adverse effect of MS | 9952 Acute allergic reaction |
| non-critical care unit | | | Contin | |
| Admission to other | 2002 | 67 | Reaction to vascular device | 99662 Infection and inflammatory reaction due to other |
| non-critical care unit | | | implant | vascular device, implant, and graft |
| Admission to other | 2007 | 54 | Postoperative hernia repair | 99859 Other postoperative infection |
| non-critical care unit | | | infection | |
| Admission to other | 2001 | 50 | Chasing a car struck by | V508 Respiratory conditions due to other and unspecified |
| non-critical care unit | | | something plus LOC intubated | external agents |
| Admission to other | 2009 | 20 | at scene unresponsive Rollover car accident | V997 No disease |
| non-critical care unit | 2009 | 20 | Nonover car accident | VOOT ING GISCOSE |
| non-cittical cale utilit | | | | |

MVA, Motor vehicle accident; GSW, gunshot wound; SOB, shortness of breath; PTA, peritonsillar abscess; PMD, primary physician; RA, room air; S/P, status post; LOC, level of consciousness.

^{*}The entries are sorted by disposition type, then by principal diagnosis ICD-9 code, and then by file year.